

Electronic Acknowledgement Receipt

EFS ID:	4973239
Application Number:	10783538
International Application Number:	
Confirmation Number:	7446
Title of Invention:	Securities market and market maker activity tracking system and method
First Named Inventor/Applicant Name:	Stephen Cutler
Customer Number:	23908
Filer:	Morris David Galin
Filer Authorized By:	
Attorney Docket Number:	CUTSP0104US
Receipt Date:	16-MAR-2009
Filing Date:	20-FEB-2004
Time Stamp:	16:18:45
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$65
RAM confirmation Number	1809
Deposit Account	180988
Authorized User	GALIN,DAVID M.

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		CUTSP0104US_R02.pdf	233598	yes	60
			97c5f4f5a166c04e0646079fd93e043e66f71a8		
Multipart Description/PDF files in .zip description					
	Document Description		Start		End
	Amendment/Req. Reconsideration-After Non-Final Reject		1		1
	Claims		2		41
	Applicant Arguments/Remarks Made in an Amendment		42		60
Warnings:					
Information:					
2	Extension of Time	CUTSP0104US_R02_ExtofTime.pdf	242058	no	2
			3ac4fea69ae21e3ce0adc88a5b2ce20fab49db18		
Warnings:					
Information:					
3	First Action Interview - Schedule Interview request	CUTSP0104US_InterviewRequest.pdf	283986	no	2
			9f36816f6e4bf853cb5afe87e44ef8e5df8c9a8d		
Warnings:					
Information:					
4	Fee Worksheet (PTO-06)	fee-info.pdf	30126	no	2
			0d469b94abe32bd13b7e78039cf8f4bde7fb52a3		
Warnings:					
Information:					
Total Files Size (in bytes):			789768		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.